IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of: WALKER et al.) Examiner: Not Yet Assigned
0 1	NI NI (NI (NI (NI NI N) Group Art Unit: Not Yet Assigned
Serial	No.: Not Yet Assigned	Attorney Docket No: 97-558-C1
Filing	Date: August 18, 2003) Customer No.: 22927
))
For:	METHOD AND APPARATUS FOR	
	FACILITATING THE PLAY OF))
	FRACTIONAL LOTTERY TICKETS	
	UTILIZING POINT-OF-SALE))
	TERMINALS	
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as priority mail in an envelope with sufficient postage and addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

on August 18, 2003.

Dated: 08.18.03 By

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor

PATENT

shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

- 1. [] Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
- 2. [] For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s):
- 3. [] For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): ______.
- 4. [X] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
 - [X] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

- [] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.
- [] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
- 5. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
- 6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
- 7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
 - a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.

8.[]		e is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since being filed in compliance with:
	[]	37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
	[]	37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.
9. []	I her	eby certify:
	[]	that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.
	[]	that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10.[]	Plea	se accept payment of the fees due as indicated below:

- [] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).
- [] The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).
- [] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- The Commissioner is authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- 11. [X] In addition to the citations listed above, applicants respectfully direct the Examiner's attention to the following U.S. patent applications, which are commonly assigned to the assignee of the instant application, and which may be deemed pertinent to the instant application:

Serial No.	Inventors	Filing Date	Group Art Unit	Examiner's Init.
08/946,508	Walker et al.	10/7/97	2761	
09/083,689	Walker et al.	05/21/98	2761	
09/076,409	Walker et al.	05/21/98	2761	
09/083,483	Walker et al.	05/22/98	2761	
09/085,424	Walker et al.	05/27/98	1773	
09/107,971	Walker et al.	06/30/98	3642	

The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. These applications have not been listed on the accompanying Form PTO-1449 in order to preserve their secrecy during the course of their prosecution.

12. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271.

13. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

August 18, 2003 Date

Dean P. Alderucci
Attorney for Applicants
Registration No. 40,484
Walker Digital, LLC
Alderucci@WalkerDigital.com
(203) 461-7337/phone
(203) 461-7300/fax

				Docket Number	Serial	Number			
				97-558-C1	Not	Yet Assi	gned		
			~~~	Applicants					
INFO	RMA	TION DISCLOSURE (	CITATION	WALKER et al.					
				Filing Date		Group Ar	t Unit		
				August 18, 2003		Not Ye	t Assign	ed	
			U.S. PAT	ENT DOCUMENTS					
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	DA	LING TE IF OPRIAT E
	A	3,573,747	04/6/71	Adams et al.		340	172.5		
	В	4,108,361	08/22/78	Krause		235	375		
	С	4,323,770	04/6/82	Dieulot et al.		235	375		
	D	4,494,197	01/15/85	Troy et al.		364	412		
	Е	4,500,880	02/19/85	Gomersall et al.		340	825.35		
	F	4,669,730	06/02/87	Small		273	138 A		
	G	4,677,553	06/30/87	Roberts et al.		364	412		
	Н	4,689,742	08/25/87	Troy et al.		364	412		
	I	4,760,247	07/26/88	Keane et al.		235	454		
	J	4,815,741	03/28/89	Small		273	138 A		
	K	4,825,045	04/25/89	Humble		235	383		
			FOREIGN F	ATENT DOCUMENTS					
	REF	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	Trans	lation
								Yes	No
	L	WO 97/46961	12/11/97	PCT					
						<del></del>			
			OTHER DO	CUMENTS (Including autho	r, Title, Da	te, Pertine	ent Pages,	Etc. <u>)</u>	
EXAMIN	ER			DATE CONSIDERED:					

			<del></del>	Docket Number	Serial No	umber			
				97-558-C1	Not Y	et Assi	igned		
				Applicants	<del></del>				
INFO	RMA	TION DISCLOSURE O	CITATION	WALKER et al.					
				Filing Date		Group Ar	t Unit	· · · · · · ·	
				August 18, 2003	]	Not Ye	t Assign	ned	
			U.S. PAT	TENT DOCUMENTS					
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	DA	LING ATE IF OPRIAT E
	M	4,839,507	06/13/89	May	2	235	381		
	N	4,854,590	08/08/89	Jolliff et al.	2	273	138 A		
	0	4,859,838	08/22/89	Okiharu	2	235	383		
	P	4,882,473	11/21/89	Bergeron et al.	2	235	380		
	Q	4,910,672	03/20/90	Off et al.	3	364	405		
	R	4,922,522	05/01/90	Scanlon	] [	379	95		
	S	4,937,853	06/26/90	Brule et al.		379	96		
	Т	4,982,337	01/01/91	Burr et al.	2	364	479		<del> </del>
	U	4,993,714	02/19/91	Golightly		273	138 A		
	V	5,119,295	06/02/92	Kapur		364	412		
	W	5,177,342	01/05/93	Adams		235	379		
			FOREIGN I	PATENT DOCUMENTS					
	REF	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	Trans	slation
								Yes	No
			OTHER DO	CUMENTS (Including author, T	itle, Date,	, Pertine	ent Pages	, Etc. <u>)</u>	
EXAMIN	ER			DATE CONSIDERED:					
		nitial if citation considered, whether Include copy of this form with next		n conformance with MPEP Section 609; D	raw line th	rough cita	ation if not	in confor	mance

				Docket Number	Serial Number			
				97-558-C1	Not Yet As	signed		
				Applicants	<del></del>			
INFO	RMAT	TION DISCLOSURE CI	TATION	WALKER et al.				
				Filing Date	Group A			
				August 18, 2003	Not Y	et Assign	ed.	
·			U.S. PAT	ENT DOCUMENTS				
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	DA APPR	LING TE IF OPRIAT E
	X	5,200,889	04/06/93	Mori	364	401		
	Y	5,216,595	06/01/93	Protheroe	364	412		
	Z	5,223,698	06/29/93	Kapur	235	375		
	AA	5,231,569	07/27/93	Myatt et al.	364	408		
	BB	5,239,165	08/24/93	Novak	235	375		
	CC	5,243,515	09/07/93	Lee	364	401		
	DD	5,262,941	11/16/93	Saladin et al.	364	408		
	EE	5,274,547	12/28/93	Zoffel et al.	364	408		
	FF	5,283,731	02/01/94	Lalonde et al.	364	401		
	GG	5,297,031	03/22/94	Gutterman et al.	364	408		
	НН	5,548,110	08/20/96	Storch et al.	235	472		
			FOREIGN F	PATENT DOCUMENTS				
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Trans	lation
							Yes	No
			ļ					
			OTHER DO	CUMENTS (Including author, T	itle, Date, Perti	inent Pages	, Etc.)	
EXAMIN	ER			DATE CONSIDERED:				
		itial if citation considered, whether o		n conformance with MPEP Section 609; D	raw line through	citation if not	in confor	mance

Docket Number Serial Number 97-558-C1 Not Yet Assigned Applicants									
Applicants									
l ···									
INFORMATION DISCLOSURE CITATION WALKER et al.									
Filing Date Group Art Unit									
August 18, 2003 Not Yet Assigned									
U.S. PATENT DOCUMENTS									
INITIAL CLASS DAT. APPRO	E IF PRIAT								
II 5,665,953 09/09/97 Mazzamuto et al. 235 383									
JJ 5,724,886 03/10/98 Ewald et al. 99 374									
KK 5,772,510 06/30/98 Roberts 463 17									
FOREIGN PATENT DOCUMENTS									
REF DOCUMENT NUMBER DATE COUNTRY CLASS SUB- Translat	tion								
Yes	No								
OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)									
LL Brochure, "Introducing the Digital MenuBoard", Siren Technologies, Inc., (www sirentech com).									
MM "New Wave Marketing", Promotion Times; An SCA Quarterly Newsletter - First Quarter", 2 pp.									
NN Brochure: "POSitive Input; The McDonald's POS-3 System Newsletter from Olivetti Solutions/OLSY", pp. 1-8.									
OO "Universal Holding Cabinet Rollout Program", H & K Dallas Inc., 5 pp.									
PP "Cape Town", Reuters, Ltd, November 8, 1979.									
QQ "Save the Mark", Financial Times (London), February 1, 1983, Section I, Men & Matters, pg. 12.	No. 7,								
RR Greene, Jan, "Farm bills please assns; National Grocers Association", Supermarket News, Decem 23, 1985, Section: Vol. 35, pg. 6, ISSN: 0039-5803.									
SS "POS spectrum: a lottery looks to POS for growth", POS News, January 1989, Section: Vol. 5, N pg. 8, ISSN: 0896-6230, CODEN: BHORAD.									
TT Kuttner, Robert, "Computers May Turn The World Into One Big Commodities Pit", Business We September 11, 1989, Section: Economic Viewpoint, Number 3123, pg. 17.	ek,								
EXAMINER DATE CONSIDERED:									
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conform and not considered. Include copy of this form with next communication to applicant.	ance								

		Docket Number	Serial	Number		
		97-558-C1	Not	Yet Assigned		
		Applicants				
NFORM	ATION DISCLOSURE CITATION	WALKER et al.				
		Filing Date		Group Art Unit		
		August 18, 2003		Not Yet Assigned		
	OTHER DOO	CUMENTS (Including at	uthor, Title, Da	te, Pertinent Pages, Etc.)		
UU Schrage, Michael, "An Experiment in Economic Theory; Labs Testing Real Markets", The Red November 26, 1989.						
VV	"Heads I win, tails you lose", The Econ	nomist, June 13, 1992				
ww	Kirk, Jim, "Digital promotions make q	uick point", The Wall	Street Journa	al, December 26, 1997.		
XX	"From Our Family to Yours5 Weeks Food Corporation, Copyright 1998.	of Coupon Values fo	r a Valuable	Customer!", Wakefern		
YY	Brochure: "It's in the Bag. Introducing the Universal Holding Cabinet from Welbilt.", Welbilt, Copyright 1998, 5 pp.					
ZZ	Brochure: "NCR 7453 PC-Based Point	-of-Sale Solution", N	CR Corporati	on, Copyright 1998.		
AAA	"Progressive Introduces Kitchen Displa 23, 1998, Section: Financial News.	ay System (KDS) For	Restaurants"	, PR NewsWire, January		
BBB	Blair, Adam, "JICC Coupon Guide Red February 9, 1998, Section: pg. 23, ISSI		At Front End	", Supermarket News,		
CCC	Website: "Advanced Mechanics Interndownload date: March 12, 1998.	et Specials", (http://w	ww metrople	xweb com/advcpn2 htm)		
DDD	Website: "U.P.C. Coupon Code Guidel council org/d31-3 htm), download date		m Code Cou	ncil, Inc., (http://www.uc		
EEE	Hamstra, Mark, "'Made-for-you' maner Study Quickserve, April 13, 1998, (http		ve shift in Q	SR category", Segment		
FFF	Brochure: "For the Crew & the Custom America, Winter 1998, 2 pp.	ner; The Best Drive-T	hru & Grill S	Service", Ollvetti North		
AMINER		DATE CONSIDER	ED:			